Andrew Kennon Jennings

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Academic Appointments

Emory University School of Law

Associate Professor of Law

June 2023 - present

<u>Courses Taught</u>: Securities Regulation; Corporate Crime <u>Service/Committees</u>: Clerkship Task Force; Teaching Awards

Brooklyn Law School

Assistant Professor of Law

July 2021 - May 2023

<u>Courses Taught</u>: Corporations; Securities Regulation; Adv. Securities Regulation; Mergers & Acquisitions <u>Service/Committees</u>: Faculty Secretary; Workshops; Center for the Study of Business Law and Regulation

Stanford University School of Law

Teaching Fellow, Corporate Governance & Practice and Lecturer in Law

June 2019 - June 2021

Courses Taught: Corporate Governance & Practice Seminar I and II

Duke University School of Law

Scholar in Residence

May 2016 – August 2016

Publications and Scholarship

Articles

Vice Capital, 15 U.C. IRVINE L. REV. __ (forthcoming 2025) (with Kimberly D. Krawiec)

Criminal Recordkeeping, 102 WASH. U.L. REV. ___ (forthcoming 2024)

Criminal Subsidiaries, 92 FORDHAM L. REV. ___ (forthcoming 2024)

Featured in Harvard Law School's FORUM ON CORPORATE GOVERNANCE BLOG

The Market for Corporate Criminals, 40 YALE J. ON REG. 520 (2023)

Featured in Harvard Law School's FORUM ON CORPORATE GOVERNANCE BLOG

Disclosure Procedure, 82 MD. L. REV. 920 (2023)

Featured in Columbia Law School's BLUE SKY BLOG

State Securities Enforcement, 47 BYU L. REV. 67 (2021)

- Excerpted in Donna M. Nagy, Lisa M. Fairfax, and Veronica Root Martinez, Securities Litigation and Enforcement: Cases and Materials (5th ed. 2023)
 - Selected for inclusion in SECURITIES LAW REVIEW (Donald C. Langevoort, ed., 2023)

Follow-Up Enforcement, 70 DUKE L.J. 1569 (2021)

Notice Risk and Registered Agency, 46 J. CORP. L. 75 (2020)

Firm Value and Intracorporate Arbitration, 38 REV. LITIG. 1 (2018)

Essays and Shorter Pieces

101 Lawyers: Attorney Appearances in Twitter v. Musk, 73 DUKE L.J. ONLINE 77 (2023)

• Featured in Columbia Law School's BLUE SKY BLOG

The Public's Companies, 29 FORDHAM J. CORP. & FIN. L. 191 (2023)

Conscience Leave, 35 NOTRE DAME J.L. ETHICS & PUB. POL'Y 649 (2021) (symposium)

The Supreme Court and the 117th Congress, 11 CALIF. L. REV. ONLINE 408 (2020) (with Athul K. Acharya)

Shareholders United?, 95 NOTRE DAME L. REV. REFLECTION 47 (2019)

A Happiness Approach to Cost-Benefit Analysis, 62 DUKE L.J. 1503 (2013) (with Andrew T. Foglia)

Education

Duke University School of Law

J.D., cum laude, 2013

DUKE LAW JOURNAL, Executive Editor, Vol. 62; Staff Editor, Vol. 61

Duke University

M.A., Economics, 2013

Hampden-Sydney College

B.A., summa cum laude, 2009

Phi Beta Kappa; Interdisciplinary Honors in History and Government

Presentations/Workshops/Panels

Vice Capital

- Junior Scholars Conference, University of Michigan, 2024
- Law Faculty Colloquium, Emory University, 2024
- Winter Deals Conference, Brigham Young University, 2023
- Vanderbilt Law and Business Workshop, Vanderbilt University, 2023
- National Business Law Scholars Conference, University of Tennessee, 2023

Criminal Recordkeeping

- CrimFest, Benjamin N. Cardozo School of Law, 2022
- Junior Securities Regulation Scholars Workshop, 2022
- Brooklyn Law School Junior Faculty Workshop, 2022

Criminal Subsidiaries

- AALS Business Associations New Voices in Business Law, 2024
- CrimFest, Brooklyn Law School, 2023
- Corporate Law at a Crossroads, University of Wisconsin Law School, 2023
- Corporate & Securities Litigation Workshop, Vanderbilt University, 2023

The Market for Corporate Criminals

- Regulation & Markets Workshop, Boston College, 2023
- Corporate & Securities Litigation Workshop, University of Illinois, 2022

- National Business Scholars Conference, University of Oklahoma, 2022
- Junior Faculty Forum, University of Richmond, 2022
- Junior Scholars Conference, University of Michigan, 2022
- Junior Securities Regulation Scholars Workshop, 2021
- Brooklyn Law School Faculty Workshop, 2021

The Public's Companies

- Organization of Social Impact Workshop, UC Berkeley, 2022
- Conference on Empirical Legal Studies, 2022 (online)
- University of Pennsylvania Institute for Law & Economics Business Law Workshop, 2021
- National Business Law Scholars Conference, 2021 (online)

Disclosure Procedure

- Annual Meeting of the American Law and Economics Association, Columbia Law School, 2022
- Emerging Voices in Securities Regulation, AALS Annual Conference, 2021 (online)
- Bay Area Corporate Law Scholars Workshop, UC Hastings College of the Law, 2021
- National Business Law Scholars Conference, 2021 (online)
- ComplianceNet, 2021 (online)
- Moody's Investors Service, 2021 (online)
- Society for Corporate Governance Annual Conference, 2021 (online)

Conscience Leave

• Ethics of Government Service Symposium, Notre Dame Law School, 2021 (online)

State Securities Enforcement

- Legal Research-in-Progress Workshop, Stanford Law School, 2020
- Corporate & Securities Litigation Workshop, 2020 (online)
- National Business Law Scholars Conference, 2020 (online)
- State & Local Government Works-in-Progress Conference, 2020 (online)

Follow-Up Enforcement

- Corporate & Securities Litigation Workshop, Boston University, 2019
- Fellows Workshop, Stanford Law School, 2019

Firm Value and Intracorporate Arbitration

Corporate & Securities Litigation Workshop, University of Richmond, 2018

Other Presentations

Fourth Annual Summit for Corporate Governance, University of Nevada-Las Vegas School of Law, 2023

Panelist: 2023 SEC Updates

FTX and the Future of Crypto, Benjamin N. Cardozo School of Law, 2022

Panelist: White-Collar Crime Aspects of the FTX Collapse

Transatlantic Blockchain Law, CUNY Baruch College Zicklin School of Business, 2022

Topic: State Crypto Regulation

Legal Experience

Sullivan & Cromwell LLP, New York, NY

Litigation Associate

September 2017 – August 2018

U.S. Court of Appeals for the Sixth Circuit, Detroit, MI

August 2016 – August 2017

Law Clerk to Hon. Helene N. White

Cravath, Swaine & Moore LLP, New York, NY

Corporate Associate Summer Associate October 2013 – May 2016 Summer 2012

Public Engagement/Service

Expert, California Department of Justice, Board-Diversity Litigation

2021 - present

- Alliance for Fair Board Recruitment v. Weber, 2:21-cv-01951 (E.D. Cal.)
- Meland v. Weber, 2:19-cv-02288-JAM-AC (E.D. Cal.)
- Crest v. Padilla, 19STCV27561 (L.A. Cnty. Super. Ct.)
- Crest v. Weber, 20STCV37513 (L.A. Cnty. Super. Ct.)

Arbitrator, Financial Industry Regulatory Authority

2019 – present

Drafter, Securities Scholars' Letter on Model State Whistleblower Award and Protection Act, 2020

Professional Service

Member, Executive Committee, AALS Section on Securities Regulation

2024 - present

Creator/Host, BUSINESS SCHOLARSHIP PODCAST

2019 - present

- Interviewer of 238 guests across 212 episodes on business-relevant scholarship with >1,400 average downloads per month from academic, policymaker/regulator, judicial, practitioner, and student listeners
- Organizer and moderator of three annual podcast symposia:
 - Citizens United at 10 (January 2020)
 - Financial and Corporate Regulation in the Biden Administration (January 2021)
 - Local Journalism, Business, and Society (June 2022)

Media Appearances

Chattanooga Accountant Jonathan Frost Faces Federal Criminal Investigation, CHATTANOOGA TIMES FREE PRESS (Mar. 17, 2024)

How the Deal that Made Musk the World's Richest Person Could Unravel, WASH. POST (Feb. 1, 2024)

Amid Croft & Frost Accounting Firm Woes, Owner Pitched 'Extremely Exciting' Hydrogen Plant, Admitted It Was Volatile, CHATTANOOGA TIMES FREE PRESS (Oct. 28, 2023)

Billions of Dollars in Loans to Board Members Draw Spotlight to Gulf Banks, WALLST. J. (Sept. 28, 2023)

Who Stays and Goes in Finance When the Company Goes Private, CFO BREW (Feb. 23, 2023)

Bankman-Fried Pleads Not Guilty, Faces Trial in October, BLOOMBERG (Jan. 3, 2023)

FTX Founder Indicted on Eight Criminal Charges Including Fraud and Conspiracy, CNN (Dec. 13, 2022)

Sam Bankman-Fried Said It's 'Not Who I Am' to Shun the Press. But Legal Experts Say His Recent Interviews Could Come Back to Haunt Him, BUS. INSIDER (Dec. 2, 2022)

States Lead Crypto Enforcement as Feds Deal with Inchoate Role, BLOOMBERG L. (Nov. 28, 2022)

What Options Elon Has Left – with Andrew Jennings, THE PROF G POD WITH SCOTT GALLOWAY (Oct. 20, 2022) Twitter Says It Wants Trial Against Elon Musk to Proceed, AP (Oct. 6, 2022)

After Banks' \$1.8B Texting Fines, Who Will Feel Heat Next?, LAW360 (Oct. 5, 2022)

Elon Musk's Twitter Climbdown: 'A Big Pill to Swallow', FIN. TIMES (Oct. 5, 2022)

Deal Back On? Elon Musk Gets Closer to Buying Twitter, AP (Oct. 4, 2022)

Musk Says He Will Close \$44B Twitter Deal on Original Terms, LAW360 (Oct. 4, 2022)

Delaware Judge Likely to Demand Certainty Over Deal Closing, Lawyers Say, WALLST. J. (Oct. 4, 2022)

Twitter CEO and Elon Musk Depositions Did Not Begin Monday, Despite Earlier Filings in Acquisition Dispute, CNN (Sept. 26, 2022)

Elon Musk Stares Into the Void, N.Y. MAG. (Sept. 7, 2022)

Musk-Twitter Feud Fast-Track Timeline Mirrors Other Busted Deals, BLOOMBERG L. (July 20, 2022)

Decoding the Corporate Law Alphabet Soup: M&A and SPACs, GETTING COMMON W/ PROFESSOR CARLISS CHATMAN (July 13, 2022)

Goldman Banker Linked to \$4.5B Global Corruption Scandal Heads to Trial, COURTHOUSE NEWS (Feb. 11, 2022)

Gambling Addiction Experts See Familiar Aspects in Robinhood App, NBC NEWS (Jan. 30, 2021)

A Few of Our Favorite Things: Podcasts You'll Love, INSECURITIES PODCAST (Dec. 30, 2020)

Among Democrats, A Growing Appetite To Alter High Court, LAW360 (Sept. 26, 2020)

How Democrats Could Curb a Conservative SCOTUS Without Court-Packing, REUTERS (SEPT. 23, 2020)

Andrew Jennings on Podcasting, IPSE DIXIT (Aug. 26, 2020)

It's Real and It's Spectacular: A Free Summer Class on 'Seinfeld' and the Law, LAW.COM (May 14, 2020)

Chad Focus Wanted to Be a Rap Mogul. How Much Fraud Would It Take?, VICE (Dec. 20, 2019)

Arrest of U.S. Millionaire Grows into Massive Sex Trafficking Case, ORGANIZED CRIME AND CORRUPTION REPORTING PROJECT (July 10, 2019)

Admissions

New York, 2014; North Carolina, 2019; U.S.C.A. Ninth, 2018; U.S.C.A. Sixth, 2017; S.D.N.Y/E.D.N.Y., 2017