

Andrew Kennon Jennings
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Academic Appointments

Emory University School of Law

Associate Professor of Law June 2023 – present (tenured May 2025)
Faculty Director, William and Jane Carney Center for Business and Transactional Law August 2025 - present

Courses: Business Associations; Securities Regulation; Mergers & Acquisitions, Corporate Crime

Service: Appointments Committee (2025 – present); Clerkship Committee (2024 – present); Clerkship Task Force (spring 2024); *Emory Corporate Governance & Accountability Review* (faculty advisor) (2024 – present); Teaching Awards Committee (2023 – 2024)

Brooklyn Law School

Assistant Professor of Law July 2021 – May 2023

Courses: Corporations; Securities Regulation; Adv. Securities Regulation; Mergers & Acquisitions

Service: Faculty Secretary; Workshops Committee; Center for the Study of Business Law and Regulation

Stanford Law School

Teaching Fellow, Corporate Governance & Practice and Lecturer in Law June 2019 – June 2021

Courses: Corporate Governance & Practice Seminar I and II

Duke University School of Law

Scholar in Residence May 2016 – August 2016

Publications and Scholarship

Articles

Criminal Investors, 93 GEO. WASH. L. REV. 851 (2025)

- Featured in HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE

Vice Capital, 15 U.C. IRVINE L. REV. 427 (2025) (with Kimberly D. Krawiec)

- Featured in HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE

Criminal Recordkeeping, 102 WASH. U.L. REV. 223 (2024)

- Featured in CLS BLUE SKY BLOG

Criminal Subsidiaries, 92 FORDHAM L. REV. 2013 (2024)

- Featured in HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE

The Market for Corporate Criminals, 40 YALE J. ON REGUL. 520 (2023)

- Featured in HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE

Disclosure Procedure, 82 MD. L. REV. 920 (2023)

- Featured in CLS BLUE SKY BLOG

State Securities Enforcement, 47 BYUL. REV. 67 (2021)

- Excerpted in DONNA M. NAGY, LISA M. FAIRFAX, AND VERONICA ROOT MARTINEZ, SECURITIES LITIGATION AND ENFORCEMENT: CASES AND MATERIALS (5th ed. 2023)
- Selected for inclusion in SECURITIES LAW REVIEW (Donald C. Langevoort, ed., 2023)

Follow-Up Enforcement, 70 DUKE L.J. 1569 (2021)

Notice Risk and Registered Agency, 46 J. CORP. L. 75 (2020)

Firm Value and Intracorporate Arbitration, 38 REV. LITIG. 1 (2018)

Essays and Shorter Pieces

Me&A and Compliance, ELGAR CONCISE ENCYCLOPEDIA ON COMPLIANCE LAW (James Fanto, ed., forthcoming)

101 Lawyers: Attorney Appearances in Twitter v. Musk, 73 DUKE L.J. ONLINE 77 (2023)

- Featured in CLS BLUE SKY BLOG

The Public's Companies, 29 FORDHAM J. CORP. & FIN. L. 191 (2023)

The President as CEO: Executive Privilege and Corporate Law, U. CHI. BUS. L. REV. BLOG (2022) (with Benjamin P. Edwards)

- Cited in 26A WRIGHT & MILLER'S FEDERAL PRACTICE AND PROCEDURE § 5673 (2024)

Conscience Leave, 35 NOTRE DAME J.L. ETHICS & PUB. POL'Y 649 (2021) (symposium)

The Supreme Court and the 117th Congress, 11 CALIF. L. REV. ONLINE 408 (2020) (with Athul K. Acharya)

Shareholders United?, 95 NOTRE DAME L. REV. REFLECTION 47 (2019)

A Happiness Approach to Cost-Benefit Analysis, 62 DUKE L.J. 1503 (2013) (with Andrew T. Foglia)

Works-in-Progress

Everyday Veil Piercing

Timing Whistleblowing

Broker Job Titles and Investor Protection: Evidence from FINRA BrokerCheck and Experimental Surveys

Education

Duke University School of Law

J.D., *cum laude*, 2013

DUKE LAW JOURNAL, Executive Editor, Vol. 62; Staff Editor, Vol. 61

Duke University

M.A., Economics, 2013

Hampden-Sydney College

B.A., *summa cum laude*, 2009

Phi Beta Kappa; Interdisciplinary Honors in History and Government

Presentations/Workshops/Panels

Everyday Veil Piercing

- Corporate & Securities Litigation Workshop, University of Richmond, 2025
- National Business Law Scholars Conference, University of California, Los Angeles, 2025
- GGI North American Regional Conference, 2025

Timing Whistleblowing (also presented under The Original SEC Whistleblower Program)

- American Law and Economics Association Annual Meeting, New York University, 2025
- Corporate Disasters Conference, UC Law San Francisco, 2025
- 3rd Annual Junior Faculty Business and Financial Law Workshop, University of Pennsylvania, 2024
- Corporate & Securities Litigation Workshop, University of California, Los Angeles, 2024
- Midwestern Law & Economics Association, University of Kansas, 2024

Criminal Investors

- Winter Deals Conference, Brigham Young University, 2025
- Junior/Senior Legal Scholars Workshops, University of Alabama, 2024
- Faculty Workshop, George Washington University, 2024
- Emory Law/Georgia Law Workshop, University of Georgia, 2024
- CrimFest, Benjamin N. Cardozo School of Law, 2024

Vice Capital

- University of British Columbia, Peter A. Allard School of Law, Centre for Business Law, 2025
- Junior Scholars Conference, University of Michigan, 2024
- Law Faculty Colloquium, Emory University, 2024
- Tel Aviv University Corporate Law Online Workshop, 2024
- Winter Deals Conference, Brigham Young University, 2023
- Vanderbilt Law and Business Workshop, Vanderbilt University, 2023
- National Business Law Scholars Conference, University of Tennessee, 2023

Criminal Recordkeeping

- CrimFest, Benjamin N. Cardozo School of Law, 2022
- Junior Securities Regulation Scholars Workshop, 2022
- Brooklyn Law School Junior Faculty Workshop, 2022

Criminal Subsidiaries

- AALS Business Associations New Voices in Business Law, 2024
- CrimFest, Brooklyn Law School, 2023
- Corporate Law at a Crossroads, University of Wisconsin Law School, 2023
- Corporate & Securities Litigation Workshop, Vanderbilt University, 2023

The Market for Corporate Criminals

- Regulation & Markets Workshop, Boston College, 2023
- Corporate & Securities Litigation Workshop, University of Illinois, 2022
- National Business Scholars Conference, University of Oklahoma, 2022
- Junior Faculty Forum, University of Richmond, 2022
- Junior Scholars Conference, University of Michigan, 2022
- Junior Securities Regulation Scholars Workshop, 2021
- Brooklyn Law School Faculty Workshop, 2021

The Public's Companies

- Organization of Social Impact Workshop, UC Berkeley, 2022

- Conference on Empirical Legal Studies, 2022
- University of Pennsylvania Institute for Law & Economics Business Law Workshop, 2021
- National Business Law Scholars Conference, 2021

Disclosure Procedure

- American Law and Economics Association Annual Meeting, Columbia University, 2022
- Emerging Voices in Securities Regulation, AALS Annual Conference, 2021
- Bay Area Corporate Law Scholars Workshop, UC Law San Francisco, 2021
- National Business Law Scholars Conference, 2021
- ComplianceNet, 2021
- Moody's Investors Service, 2021 (online)
- Society for Corporate Governance Annual Conference, 2021

Conscience Leave

- Ethics of Government Service Symposium, Notre Dame Law School, 2021

State Securities Enforcement

- Legal Research-in-Progress Workshop, Stanford Law School, 2020
- Corporate & Securities Litigation Workshop, 2020
- National Business Law Scholars Conference, 2020
- State & Local Government Works-in-Progress Conference, 2020

Follow-Up Enforcement

- Corporate & Securities Litigation Workshop, Boston University, 2019
- Fellows Workshop, Stanford Law School, 2019

Firm Value and Intracorporate Arbitration

- Corporate & Securities Litigation Workshop, University of Richmond, 2018

Other Presentations

Four Strange Things About Corporate Criminals, IU Kelley School of Business/European Corporate Governance Institute, 2025

Fourth Annual Summit for Corporate Governance, University of Nevada-Las Vegas School of Law, 2023

- Panelist: 2023 SEC Updates

FTX and the Future of Crypto, Benjamin N. Cardozo School of Law, 2022

- Panelist: White-Collar Crime Aspects of the FTX Collapse

Transatlantic Blockchain Law, CUNY Baruch College Zicklin School of Business, 2022

- Topic: State Crypto Regulation

Legal Experience

Sullivan & Cromwell LLP, New York, NY
Litigation Associate

September 2017 – August 2018

U.S. Court of Appeals for the Sixth Circuit, Detroit, MI
Law Clerk to Hon. Helene N. White

August 2016 – August 2017

Cravath, Swaine & Moore LLP, New York, NY
 Corporate Associate
 Summer Associate

October 2013 – May 2016
 Summer 2012

Public/Industry Engagement

Creator, KFilings.com (free SEC EDGAR filings alert tool) 2024 – present

- Featured in *The Corporate Counsel*, *Real Transparent Disclosure*, and *Insights: The Corporate & Securities Law Advisor*

Expert

- Securities expert in commercial insurance dispute, federal district court 2025 – present
- Corporate-governance expert in private-company fiduciary litigation, Georgia state court 2024 – present
- Corporate-governance expert in private contract dispute, Court of Arbitration for Sport 2023 – 2024
- Corporate-governance expert in California board-diversity litigation 2021 – 2023

Arbitrator, Financial Industry Regulatory Authority 2019 – present

Drafter, *Securities Scholars' Letter on Model State Whistleblower Award and Protection Act*, 2020

Professional Service

Academic Fellow, Center for Retail Investors & Corporate Inclusion 2025 – present

Area Organizer (Corporate Law & Governance), Conference on Empirical Legal Studies, 2024

Member, Executive Committee, AALS Section on Securities Regulation 2024 - present

Creator/Host, *BUSINESS SCHOLARSHIP PODCAST* 2019 – present

- Interviewer of 292 guests across 259 episodes on business-relevant scholarship from academic, policymaker/regulator, judicial, practitioner, and student listeners
- Organizer and moderator of four annual podcast symposia/special series
 - *Citizens United at 10* (January 2020)
 - *Financial and Corporate Regulation in the Biden Administration* (January 2021)
 - *Local Journalism, Business, and Society* (June 2022)
 - *Business Academics in the World* (August 2024)

Media Appearances

After First Liberty Collapse, Raffensperger Pushes for Fraud-Fighting Powers, ATLANTA J.-CONST. (Aug. 25, 2025)

First Liberty's Alleged Ponzi Scheme Took Toot in Georgia's Regulatory Gaps, ATLANTA J.-CONST. (Aug. 3, 2025)

Criminal Investors, BITE-SIZED BUS. L. PODCAST (Mar. 4, 2025)

Andrew K. Jennings: Business Scholarship Podcast, Legal Scholarship, Academia, Corporate Law, Clerking, Teaching, THE RIDGE PODCAST (Jan. 23, 2025)

Emory Law Professor Andrew Jennings on Criminal Investors and the Rise of SOCs, CORP. CRIME REPORTER (Jan. 12, 2025)

Donald Trump Controls a Publicly Traded Company. Now He Will Pick Its Regulator, PROPUBLICA (Dec. 10, 2024)

Can SEC Staff Hold the Line? We're Not in Kansas Anymore, Folks, THE DIG (Nov. 14, 2024)

Senate Candidate Dave McCormick Led Hedge Fund that Bet Against Some of Pennsylvania's Most Iconic Companies, CNN (Oct.

9, 2024)

KFilings: The Free Edgar Retrieval Site, REALTRANSPARENTDISCLOSURE (Aug. 12, 2024)

Free SEC Filing Tracker Tool: Introducing KFilings, THECORPORATECOUNSEL (July 30, 2024)

For Big Companies, Felony Convictions Are a Mere Footnote, WALL ST. J. (July 30, 2024)

Supreme Court Decision Weakening SEC Could Boost Settlements, State Regulators' Roles, WEALTHMANAGEMENT (July 1, 2024)

Trump on Trial: Why Jury Selection Should Take Longer in Georgia, ATLANTA J.-CONST. (Apr. 22, 2024)

Chattanooga Accountant Jonathan Frost Faces Federal Criminal Investigation, CHATTANOOGA TIMES FREE PRESS (Mar. 17, 2024)

How the Deal that Made Musk the World's Richest Person Could Unravel, WASH. POST (Feb. 1, 2024)

Amid Croft & Frost Accounting Firm Woes, Owner Pitched 'Extremely Exciting' Hydrogen Plant, Admitted It Was Volatile, CHATTANOOGA TIMES FREE PRESS (Oct. 28, 2023)

Billions of Dollars in Loans to Board Members Draw Spotlight to Gulf Banks, WALL ST. J. (Sept. 28, 2023)

Who Stays and Goes in Finance When the Company Goes Private, CFO BREW (Feb. 23, 2023)

Bankman-Fried Pleads Not Guilty, Faces Trial in October, BLOOMBERG (Jan. 3, 2023)

FTX Founder Indicted on Eight Criminal Charges Including Fraud and Conspiracy, CNN (Dec. 13, 2022)

Sam Bankman-Fried Said It's 'Not Who I Am' to Shun the Press. But Legal Experts Say His Recent Interviews Could Come Back to Haunt Him, BUS. INSIDER (Dec. 2, 2022)

States Lead Crypto Enforcement as Feds Deal with Inchoate Role, BLOOMBERG L. (Nov. 28, 2022)

What Options Elon Has Left – with Andrew Jennings, THE PROF G POD WITH SCOTT GALLOWAY (Oct. 20, 2022)

Twitter Says It Wants Trial Against Elon Musk to Proceed, AP (Oct. 6, 2022)

After Banks' \$1.8B Texting Fines, Who Will Feel Heat Next?, LAW360 (Oct. 5, 2022)

Elon Musk's Twitter Climbdown: 'A Big Pill to Swallow', FIN. TIMES (Oct. 5, 2022)

Deal Back On? Elon Musk Gets Closer to Buying Twitter, AP (Oct. 4, 2022)

Musk Says He Will Close \$44B Twitter Deal on Original Terms, LAW360 (Oct. 4, 2022)

Delaware Judge Likely to Demand Certainty Over Deal Closing, Lawyers Say, WALL ST. J. (Oct. 4, 2022)

Twitter CEO and Elon Musk Depositions Did Not Begin Monday, Despite Earlier Filings in Acquisition Dispute, CNN (Sept. 26, 2022)

Elon Musk Stares Into the Void, N.Y. MAG. (Sept. 7, 2022)

Musk-Twitter Feud Fast-Track Timeline Mirrors Other Busted Deals, BLOOMBERG L. (July 20, 2022)

Decoding the Corporate Law Alphabet Soup: M&A and SPACs, GETTING COMMON W/ PROFESSOR CARLISS CHATMAN (July 13, 2022)

Goldman Banker Linked to \$4.5B Global Corruption Scandal Heads to Trial, COURTHOUSE NEWS (Feb. 11, 2022)

Gambling Addiction Experts See Familiar Aspects in Robinhood App, NBC NEWS (Jan. 30, 2021)

A Few of Our Favorite Things: Podcasts You'll Love, INSECURITIES PODCAST (Dec. 30, 2020)

Among Democrats, A Growing Appetite To Alter High Court, LAW360 (Sept. 26, 2020)

How Democrats Could Curb a Conservative SCOTUS Without Court-Packing, REUTERS (SEPT. 23, 2020)

Andrew Jennings on Podcasting, IPSE DIXIT (Aug. 26, 2020)

It's Real and It's Spectacular: A Free Summer Class on 'Seinfeld' and the Law, LAW.COM (May 14, 2020)

Chad Fokus Wanted to Be a Rap Mogul. How Much Fraud Would It Take?, VICE (Dec. 20, 2019)

Arrest of U.S. Millionaire Grows into Massive Sex Trafficking Case, ORGANIZED CRIME AND CORRUPTION REPORTING PROJECT (July 10, 2019)

Admissions

New York, 2014; North Carolina, 2019; U.S.C.A. Ninth, 2018; U.S.C.A. Sixth, 2017; S.D.N.Y/E.D.N.Y., 2017